# Artificial Intelligence 

CS482, CS682, MW 1 - 2:15, SEM 201, MS 227
Prerequisites: 302, 365
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## Non-classical search



- Path does not matter, just the final state
- Maximize objective function


## Local optimum

- Heuristic: Number of pairs of queens attacking each other directly
- Movement: only within your column



## Model

- We have a black box "evaluate" function that returns an objective function value


Application dependent fitness function

## Local Hill Climbing

function HILL-CLIMBING( problem) returns a state that is a local maximum

```
current \leftarrow < MAKE-NODE(problem.INITIAL-STATE)
```

loop do
neighbor $\leftarrow$ a highest-valued successor of current if neighbor.VALUE $\leq$ current.VALUE then return current.STATE current $\leftarrow$ neighbor

- Move in the direction of increasing value
- Very greedy
- Subject to
- Local maxima
- Ridges
- Plateaux
- 8-queens: $86 \%$ failure, but only needs 4 steps to succeed, 3 to fail


## Hill climbing

- Keep going on a plateau?
- Advantage: Might find another hill
- Disadvantage: infinite loops $\rightarrow$ limit number of moves on plateau
- 8 queens: $94 \%$ success!!
- Stochastic hill climbing
- randomly choose from among better successors (proportional to obj?)
- First-choice hill climbing
- keep generating successors till a better one is generated
- Random-restarts
- If probability of success is $p$, then we will need $1 / p$ restarts
- 8-queens: $p=0.14$ ~= $1 / 7$ so 7 starts
- 6 failures (3 steps), 1 success (4 steps) = 22 steps
- In general: Cost of success + (1-p)/p * cost of failure
- 8-queens sideways: 0.94 success in 21 steps, 64 steps for failure
- Under a minute


## Simulated annealing

function SIMULATED-ANNEALING( problem, schedule) returns a solution state inputs: problem, a problem schedule, a mapping from time to "temperature"
current $\leftarrow$ MAKE-NODE $($ problem.INITIAL-STATE)
for $t=1$ to $\infty$ do
$T \leftarrow$ schedule $(t)$
if $T=0$ then return current
next $\leftarrow$ a randomly selected successor of current
$\Delta E \leftarrow$ next.VALUE - current.VALUE
if $\Delta E>0$ then current $\leftarrow$ next
else current $\leftarrow$ next only with probability $e^{\Delta E / T}$

- Gradient descent (not ascent)
- Accept bad moves with probability $e^{d E / T}$
- T decreases every iteration
- If schedule(t) is slow enough we approach finding global optimum with probability 1


## Beam Search

Idea: keep $k$ states instead of 1 ; choose top $k$ of all their successors
Not the same as $k$ searches run in parallel!
Searches that find good states recruit other searches to join them
Problem: quite often, all $k$ states end up on same local hill
Idea: choose $k$ successors randomly, biased towards good ones
Observe the close analogy to natural selection!

## Genetic Algorithms

- Stochastic hill-climbing with information exchange
- A population of stochastic hill-climbers

```
function GENETIC-ALGORITHM(population, FITNESS-FN) returns an individual
    inputs: population, a set of individuals
            FITNESS-FN, a function that measures the fitness of an individual
    repeat
        new_population }\leftarrow\mathrm{ empty set
        for }i=1\mathrm{ to SIZE(population) do
            x\leftarrow\square-SELECTION(population, FITNESS-FN)
            y\leftarrow\square-SELECTION(population, FITNESS-FN)
            child }\leftarrow\operatorname{REPRODUCE}(x,y
            if (small random probability) then child }\leftarrow\mathrm{ MUTATE(child)
            add child to new_population
    population }\leftarrow\mathrm{ new_population
    until some individual is fit enough, or enough time has elapsed
    return the best individual in population, according to FITNESS-FN
```

```
function REPRODUCE (x,y) returns an individual
```

function REPRODUCE (x,y) returns an individual
inputs: }x,y\mathrm{ , parent individuals
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n\leftarrow\operatorname{LENGTH}(x);c\leftarrow\mathrm{ random number from 1 to }n
n\leftarrow\operatorname{LENGTH}(x);c\leftarrow\mathrm{ random number from 1 to }n
return APPEND(SUBSTRING( }x,1,c),\operatorname{SUBSTRING}(y,c+1,n)

```
    return APPEND(SUBSTRING( }x,1,c),\operatorname{SUBSTRING}(y,c+1,n)
```


## More detailed GA

- Generate pop(0)
- Evaluate pop(0)
- T=0
- While (not converged) do
- Select pop(T+1) from pop(T)
- Recombine pop(T+1)
- Evaluate pop(T+1)
- T = T + 1
- Done


## Generate pop(0)

Initialize population with randomly generated strings of 1's and 0's


## Genetic Algorithm

- Generate pop(0)
- Evaluate pop(0)
- T=0
- While (not converged) do
- Select pop(T+1) from pop(T)
- Recombine pop( $\mathrm{T}+1$ )
- Evaluate pop(T+1)
- T = T + 1
- Done


## Evaluate pop(0)



Application dependent fitness function

## Genetic Algorithm

- Generate pop(0)
- Evaluate pop(0)
- T=0
- While (T < maxGen) do
- Select pop(T+1) from pop(T)
- Recombine pop( $\mathrm{T}+1$ )
- Evaluate pop(T+1)
- $\mathrm{T}=\mathrm{T}+1$
- Done


## Genetic Algorithm

- Generate pop(0)
- Evaluate pop(0)
- T=0
- While (T < maxGen) do
- Select pop(T+1) from pop(T)
- Recombine pop(T+1)
- Evaluate pop(T+1)
- $\mathrm{T}=\mathrm{T}+1$
- Done


## Selection

- Each member of the population gets a share of the pie proportional to fitness relative to other members of the population

- Spin the roulette wheel pie and pick the individual that the ball lands on
- Focuses search in promising areas



## Code

```
int roulette(IPTR pop, double sumFitness, int popsize)
{
    /* select a single individual by roulette wheel selection */
    double rand,partsum;
    int i;
    partsum = 0.0; i = 0;
    rand = f_random() * sumFitness;
    i = -1;
    do{
        i++;
        partsum += pop[i].fitness;
    } while (partsum < rand && i < popsize - 1) ;
    return i;
}
```


## Genetic Algorithm

- Generate pop(0)
- Evaluate pop(0)
- T=0
- While (T < maxGen) do
- Select pop(T+1) from pop(T)
- Recombine pop(T+1)
- Evaluate pop(T+1)
- $\mathrm{T}=\mathrm{T}+1$
- Done


## Crossover and mutation



Xover Probability $=0.7$


## Crossover helps if

Crossover helps iff substrings are meaningful components


## Crossover code

```
void crossover(POPULATION *p, IPTR p1, IPTR p2, IPTR c1, IPTR c2)
{
/* p1,p2,c1,c2,m1,m2,mc1,mc2 */
    int *pi1,*pi2,*ci1,*ci2;
    int xp, i;
    pi1 = p1->chrom;
    pi2 = p2->chrom;
    cil = c1->chrom;
    ci2 = c2->chrom;
    if(flip(p->pCross)) {
        xp = rnd(0, p->lchrom - 1);
        for(i = 0; i < xp; i++){
            ci1[i] = muteX(p, pil[i]);
            ci2[i] = muteX(p, pi2[i]);
        }
        for(i = xp; i < p->lchrom; i++) {
            ci1[i] = muteX(p, pi2[i]);
            ci2[i] = muteX(p, pi1[i]);
        }
    } else {
        for(i = 0; i < p->lchrom; i++) {
            ci1[i] = muteX(p, pi1[i]);
            ci2[i] = muteX(p, pi2[i]);
        }
    }
}
```


## Mutation code

int muteX(POPULATION *p, int pa) \{
\}

## How does it work

| String | decoded ff |  | $\mathrm{f}\left(\mathrm{x}^{\wedge} 2\right)$ | fi/Sum(fi) | Expected | Actual |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 01101 | 13 | 169 | 0.14 | 0.58 | 1 |  |
| 11000 | 24 | 576 | 0.49 | 1.97 | 2 |  |
| 01000 | 8 | 64 | 0.06 | 0.22 | 0 |  |
| 10011 | 19 | 361 | 0.31 | 1.23 | 1 |  |
| Sum |  | 1170 | 1.0 | 4.00 | 4.00 |  |
| Avg |  | 293 | . 25 | 1.00 | 1.00 |  |
| Max |  | 576 | . 49 | 1.97 | 2.00 |  |

## How does it work cont'd

| String |  |  |  | offspring |  | decoded |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $0110 \mid 1$ | 2 | 01100 | 12 | 144 |  |  |
| $1100 \mid 0$ | 1 | 11001 | 25 | 625 |  |  |
| $11 \mid 000$ | 4 | 11011 | 27 | 729 |  |  |
| $10 \mid 011$ | 3 | 10000 | 16 | 256 |  |  |
|  |  |  |  |  |  |  |
| Sum |  |  |  | 1754 |  |  |
| Avg |  |  |  | 439 |  |  |
| Max |  |  |  | 729 |  |  |

## Continuous spaces

Suppose we want to site three airports in Romania:

- 6 -D state space defined by $\left(x_{1}, y_{2}\right),\left(x_{2}, y_{2}\right),\left(x_{3}, y_{3}\right)$
- objective function $f\left(x_{1}, y_{2}, x_{2}, y_{2}, x_{3}, y_{3}\right)=$ sum of squared distances from each city to nearest airport

Discretization methods turn continuous space into discrete space, e.g., empirical gradient considers $\pm \delta$ change in each coordinate

Gradient methods compute

$$
\nabla f=\left(\frac{\partial f}{\partial x_{1}}, \frac{\partial f}{\partial y_{1}}, \frac{\partial f}{\partial x_{2}}, \frac{\partial f}{\partial y_{2}}, \frac{\partial f}{\partial x_{3}}, \frac{\partial f}{\partial y_{3}}\right)
$$

to increase/reduce $f$, e.g., by $\mathrm{x} \leftarrow \mathrm{x}+\alpha \nabla f(\mathrm{x})$

- What is a good value for $\alpha$ ?
- Too small, it takes too long
- Too large, may miss the optimum


## Newton Raphson Method

Sometimes can solve for $\nabla f(\mathrm{x})=0$ exactly (e.g., with one city). Newton-Raphson $(1664,1690)$ iterates $\mathrm{x} \leftarrow \mathrm{x}-\mathrm{H}_{f}^{-1}(\mathrm{x}) \nabla f(\mathrm{x})$ to solve $\nabla f(\mathbf{x})=0$, where $\mathbf{H}_{i j}=\partial^{2} f / \partial x_{i} \partial x_{j}$

## Linear and quadratic programming

- Constrained optimization
- Optimize $f(\mathbf{x})$ subject to
- Linear convex constraints - polynomial time in number of variables
- Linear programming - scales to thousands of variables
- Convex non-linear constraints - special cases $\rightarrow$ polynomial time
- In special cases non-linear convex optimization can scale to thousands of variables


## Games and game trees

- Multi-agent systems + competitive environment $\rightarrow$ games and adversarial search
- In game theory any multiagent environment is a game as long as each agent has "significant" impact on others
- In Al many games were
- Game theoretically: Deterministic, Turn taking, Two-player, Zerosum, Perfect information
- AI: deterministic, fully observable environments in which two agents act alternately and utility values at the end are equal but opposite. One wins the other loses
- Chess, Checkers
- Not Poker, backgammon,


## Game types

| perfect information | deterministic | chance |
| :--- | :--- | :--- |
|  | chess, checkers, <br> go, othello | backgammon <br> monopoly |
| imperfect information | battleships, <br> blind tictactoe | bridge, poker, scrabble <br> nuclear war |

Starcraft? Counterstrike? Halo? WoW?

## Search in Games

"Unpredictable" opponent $\Rightarrow$ solution is a strategy specifying a move for every possible opponent reply

Time limits $\Rightarrow$ unlikely to find goal, must approximate

## Plan of attack:

- Computer considers possible lines of play (Babbage, 1846)
- Algorithm for perfect play (Zermelo, 1912; Von Neumann, 1944)
- Finite horizon, approximate evaluation (Zuse, 1945; Wiener, 1948; Shannon, 1950)
- First chess program (Turing, 1951)
- Machine learning to improve evaluation accuracy (Samuel, 1952-57)
- Pruning to allow deeper search (McCarthy, 1956)


## Tic-Tac-Toe



Figure 5.1 FILES: figures/tictactoe.eps (Tue Nov 3 16:23:55 2009). A (partial) game tree for the game of tic-tac-toe. The top node is the initial state, and MAX moves first, placing an X in an empty square. We show part of the tree, giving alternating moves by MIN ( 0 ) and MAX (X), until we eventually reach terminal states, which can be assigned utilities according to the rules of the game.

## Minimax search

Perfect play for deterministic, perfect-information games
Idea: choose move to position with highest minimax value
$=$ best achievable payoff against best play
E.g., 2-ply game:

MAX
MIN


## Minimax algorithm

```
function Minimax-Decision(state) returns an action
    inputs: state, current state in game
    return the a in Actions(state) maximizing Min-Value(Result(a, state))
```

function MAX-VALUE(state) returns a utility value
if Terminal-Test(state) then return Utility (state)
$v \leftarrow-\infty$
for $a, s$ in $\operatorname{Successors}($ state $)$ do $v \leftarrow \operatorname{Max}(v, \operatorname{Min}-\operatorname{Value}(s))$
return $v$
function Min-Value(state) returns a utility value
if Terminal-Test(state) then return Utility(state)
$v \leftarrow \infty$
for $a$, $s$ in $\operatorname{Successors}($ state $)$ do $v \leftarrow \operatorname{Min}(v, \operatorname{Max}-\operatorname{Value}(s))$
return $v$

## 3 player Minimax

- Two player minimax reduces to one number because utilities are opposite - knowing one is enough
- But there should actually be a vector of two utilities with player choosing to maximize their utility at their turn
- So with three players $\rightarrow$ you have a 3 vector
- Alliances?


Figure 5.4 FILES: figures/minimax3.eps (Tue Nov 3 16:23:11 2009). The first three plies of a game tree with three players ( $A, B, C$ ). Each node is labeled with values from the viewpoint of each player. The best move is marked at the root.

## Minimax properties

- Complete?
- Only if tree is finite
- Note: A finite strategy can exist for an infinite tree!
- Optimal?
- Yes, against an optimal opponent! Otherwise, hmmmm
- Time Complexity?
- O(b ${ }^{m}$ )
- Space Complexity?
- O(bm)
- Chess:
- $\mathrm{b}^{\sim}=35, \mathrm{~m}^{\sim}=100$ for reasonable games
- Exact solution still completely infeasible


## Alpha-beta pruning

MAX

MIN


## Alpha-beta



## Alpha-beta

MAX

MIN


## Alpha-beta



## Alpha-beta



## Alpha-beta

- Alpha is the best value (for Max) found so far at any choice point along the path for Max
- Best means highest
- If utility v is worse than alpha, max will avoid it
- Beta is the best value (for Min) found so far at any choice point along the path for Min
- Best means lowest
- If utility $v$ is larger than beta, min will avoid it


## Alpha-beta algorithm

function Alpha-Beta-Decision(state) returns an action return the $a$ in Actions(state) maximizing Min-Value(Result( $a$, state))
function MAX-VALUE $($ state, $\alpha, \beta$ ) returns a utility value
inputs: state, current state in game
$\alpha$, the value of the best alternative for max along the path to state
$\beta$, the value of the best alternative for MIN along the path to state
if Terminal-Test(state) then return Utility(state)
$v \leftarrow-\infty$
for $a, s$ in Successors(state) do
$v \leftarrow \operatorname{Max}(v, \operatorname{Min}-\operatorname{Value}(s, \alpha, \beta))$
if $v \geq \beta$ then return $v$
$\alpha \leftarrow \operatorname{MAx}(\alpha, v)$
return $v$
function Min-VALuE(state, $\alpha, \beta$ ) returns a utility value same as Max-Value but with roles of $\alpha, \beta$ reversed

## Alpha beta example

- Minimax(root)
- $=\max (\min (3,12,8), \min (2, x, y), \min (14,5,2))$
- $=\max (3, \min (2, x, y), 2)$
- $=\max (3$, aValue $<=2,2)$
- = 3


## Alpha-beta pruning analysis

- Alpha-beta pruning can reduce the effective branching factor
- Alpha-beta pruning's effectiveness is heavily dependent on MOVE ORDERING
- 14, 5, 2 versus 2, 5, 14
- If we can order moves well min
- $\mathrm{O}\left(b^{\frac{m}{2}}\right)$
- Which is $\mathrm{O}\left(\left(b^{1 / 2}\right) .^{m}\right.$

- Effective branching factor then become square root of $b$
- For chess this is huge $\rightarrow$ from 35 to 6
- Alpha-beta can solve a tree twice as deep as minimax in the same amount of time!
- Chess: Try captures first, then threats, then forward moves, then backward moves comes close to $\mathrm{b}=12$


## Imperfect information

- You still cannot reach all leaves of the chess search tree!
- What can we do?
- Go as deep as you can, then
- Utility Value = Evaluate(Current Board)
- Proposed in 1950 by Claude Shannon


## Search

- Problem solving by searching for a solution in a space of possible solutions
- Uninformed versus Informed search
- Atomic representation of state
- Solutions are fixed sequences of actions

