INTRODUCTION

7 INTELLIGENT AGENTS

function Table-Driven-Agent(percept) returns an action

persistent: percepts, a sequence, initially empty

table, a table of actions, indexed by percept sequences, initially fully specified

 $\begin{array}{l} \text{append } percept \text{ to the end of } percepts \\ action \leftarrow \texttt{LOOKUP}(percepts, table) \end{array}$

return action

Figure 2.3 The TABLE-DRIVEN-AGENT program is invoked for each new percept and returns an action each time. It retains the complete percept sequence in memory.

function Reflex-Vacuum-Agent([location, status]) returns an action

if status = Dirty then return Suck else if location = A then return Right else if location = B then return Left

Figure 2.4 The agent program for a simple reflex agent in the two-state vacuum environment. This program implements the agent function tabulated in Figure ??.

function SIMPLE-REFLEX-AGENT(percept) returns an action

persistent: rules, a set of condition-action rules

 $state \leftarrow Interpret-Input(percept)$ $rule \leftarrow Rule-Match(state, rules)$

 $action \leftarrow rule. \texttt{ACTION}$

 ${\bf return} \ action$

Figure 2.6 A simple reflex agent. It acts according to a rule whose condition matches the current state, as defined by the percept.

```
 \begin{aligned} \textbf{function} & \  \, \textbf{MODEL-BASED-REFLEX-AGENT}(\textit{percept}) \  \, \textbf{returns} \  \, \textbf{an action} \\ & \  \, \textbf{persistent} \colon \textit{state}, \text{ the agent's current conception of the world state} \\ & \  \, \textit{model}, \text{ a description of how the next state depends on current state and action} \\ & \  \, \textit{rules}, \text{ a set of condition-action rules} \\ & \  \, \textit{action}, \text{ the most recent action, initially none} \\ & \  \, \textit{state} \leftarrow \texttt{UPDATE-STATE}(\textit{state}, \textit{action}, \textit{percept}, \textit{model}) \\ & \  \, \textit{rule} \leftarrow \texttt{RULE-MATCH}(\textit{state}, \textit{rules}) \\ & \  \, \textit{action} \leftarrow \textit{rule}. \texttt{ACTION} \\ & \  \, \textbf{return} \textit{ action} \end{aligned}
```

Figure 2.8 A model-based reflex agent. It keeps track of the current state of the world, using an internal model. It then chooses an action in the same way as the reflex agent.

3 SOLVING PROBLEMS BY SEARCHING

```
function SIMPLE-PROBLEM-SOLVING-AGENT( percept) returns an action persistent: seq, an action sequence, initially empty state, some description of the current world state goal, a goal, initially null problem, a problem formulation state \leftarrow \text{UPDATE-STATE}(state, percept) if seq is empty then goal \leftarrow \text{FORMULATE-GOAL}(state) problem \leftarrow \text{FORMULATE-PROBLEM}(state, goal) seq \leftarrow \text{SEARCH}(problem) if seq = failure then return a null action action \leftarrow \text{FIRST}(seq) seq \leftarrow \text{REST}(seq) return \ action
```

Figure 3.1 A simple problem-solving agent. It first formulates a goal and a problem, searches for a sequence of actions that would solve the problem, and then executes the actions one at a time. When this is complete, it formulates another goal and starts over.

```
function TREE-SEARCH(problem) returns a solution, or failure
  initialize the frontier using the initial state of problem
  loop do
      if the frontier is empty then return failure
      choose a leaf node and remove it from the frontier
      if the node contains a goal state then return the corresponding solution
      expand the chosen node, adding the resulting nodes to the frontier
function GRAPH-SEARCH(problem) returns a solution, or failure
  initialize the frontier using the initial state of problem
  initialize the explored set to be empty
  loop do
      if the frontier is empty then return failure
      choose a leaf node and remove it from the frontier
      if the node contains a goal state then return the corresponding solution
      add the node to the explored set
      expand the chosen node, adding the resulting nodes to the frontier
        only if not in the frontier or explored set
                  An informal description of the general tree-search and graph-search algorithms. The
```

parts of GRAPH-SEARCH marked in bold italic are the additions needed to handle repeated states.

```
function Breadth-First-Search(problem) returns a solution, or failure

node ← a node with State = problem.Initial-State, Path-Cost = 0

if problem.Goal-Test(node.State) then return Solution(node)

frontier ← a FIFO queue with node as the only element

explored ← an empty set

loop do

if Empty?(frontier) then return failure

node ← Pop(frontier) /* chooses the shallowest node in frontier */

add node.State to explored

for each action in problem.Actions(node.State) do

child ← Child-Node(problem, node, action)

if child.State is not in explored or frontier then

if problem.Goal-Test(child.State) then return Solution(child)

frontier ← Insert(child, frontier)
```

Figure 3.11 Breadth-first search on a graph.

```
function UNIFORM-COST-SEARCH(problem) returns a solution, or failure

node ← a node with STATE = problem.INITIAL-STATE, PATH-COST = 0

frontier ← a priority queue ordered by PATH-COST, with node as the only element explored ← an empty set

loop do

if EMPTY?(frontier) then return failure

node ← POP(frontier) /* chooses the lowest-cost node in frontier */

if problem.GOAL-TEST(node.STATE) then return SOLUTION(node)

add node.STATE to explored

for each action in problem.ACTIONS(node.STATE) do

child ← CHILD-NODE(problem, node, action)

if child.STATE is not in explored or frontier then

frontier ← INSERT(child, frontier)

else if child.STATE is in frontier with higher PATH-COST then

replace that frontier node with child
```

Figure 3.13 Uniform-cost search on a graph. The algorithm is identical to the general graph search algorithm in Figure ??, except for the use of a priority queue and the addition of an extra check in case a shorter path to a frontier state is discovered. The data structure for *frontier* needs to support efficient membership testing, so it should combine the capabilities of a priority queue and a hash table.

```
function Depth-Limited-Search(problem, limit) returns a solution, or failure/cutoff return Recursive-DLS(Make-Node(problem.Initial-State), problem, limit)

function Recursive-DLS(node, problem, limit) returns a solution, or failure/cutoff if problem.Goal-Test(node.State) then return Solution(node) else if limit = 0 then return cutoff else

cutoff_occurred? ← false

for each action in problem.Actions(node.State) do

child ← Child-Node(problem, node, action)

result ← Recursive-DLS(child, problem, limit − 1)

if result = cutoff then cutoff_occurred? ← true

else if result ≠ failure then return result

if cutoff_occurred? then return cutoff else return failure
```

Figure 3.16 A recursive implementation of depth-limited tree search.

```
\label{eq:function} \begin{array}{l} \textbf{function} \ \ \textbf{Iterative-Deepening-Search}(\textit{problem}) \ \textbf{returns} \ \text{a solution, or failure} \\ \textbf{for} \ \textit{depth} = 0 \ \textbf{to} \ \infty \ \textbf{do} \\ \textit{result} \leftarrow \text{Depth-Limited-Search}(\textit{problem}, \textit{depth}) \\ \textbf{if} \ \textit{result} \neq \text{cutoff} \ \textbf{then} \ \textbf{return} \ \textit{result} \end{array}
```

Figure 3.17 The iterative deepening search algorithm, which repeatedly applies depth-limited search with increasing limits. It terminates when a solution is found or if the depth-limited search returns *failure*, meaning that no solution exists.

```
function RECURSIVE-BEST-FIRST-SEARCH(problem) returns a solution, or failure
   return RBFS(problem, MAKE-NODE(problem.INITIAL-STATE), \infty)
function RBFS(problem, node, f\_limit) returns a solution, or failure and a new f-cost limit
  if problem.GOAL-TEST(node.STATE) then return SOLUTION(node)
  successors \leftarrow []
  for each action in problem. Actions (node. State) do
      add CHILD-NODE(problem, node, action) into successors
  if successors is empty then return failure, \infty
  for each s in successors do /* update f with value from previous search, if any */
      s.f \leftarrow \max(s.g + s.h, node.f)
  loop do
      best \leftarrow \text{the lowest } f\text{-value node in } successors
      if best.f > f\_limit then return failure, best.f
      alternative \leftarrow \text{the second-lowest } f\text{-value among } successors
      result, best.f \leftarrow RBFS(problem, best, min(f\_limit, alternative))
      if result \neq failure then return result
```

Figure 3.24 The algorithm for recursive best-first search.

4 BEYOND CLASSICAL SEARCH

function HILL-CLIMBING(problem) **returns** a state that is a local maximum

 $\begin{aligned} \textit{current} \leftarrow \text{MAKE-NODE}(\textit{problem}. \text{INITIAL-STATE}) \\ \textbf{loop do} \\ \textit{neighbor} \leftarrow \text{a highest-valued successor of } \textit{current} \\ \textbf{if neighbor}. \text{VALUE} \leq \text{current}. \text{VALUE } \textbf{then return } \textit{current}. \text{STATE} \\ \textit{current} \leftarrow \textit{neighbor} \end{aligned}$

Figure 4.2 The hill-climbing search algorithm, which is the most basic local search technique. At each step the current node is replaced by the best neighbor; in this version, that means the neighbor with the highest VALUE, but if a heuristic cost estimate h is used, we would find the neighbor with the lowest h.

Figure 4.5 The simulated annealing algorithm, a version of stochastic hill climbing where some downhill moves are allowed. Downhill moves are accepted readily early in the annealing schedule and then less often as time goes on. The schedule input determines the value of the temperature T as a function of time.

```
function GENETIC-ALGORITHM(population, FITNESS-FN) returns an individual
  inputs: population, a set of individuals
           FITNESS-FN, a function that measures the fitness of an individual
  repeat
       new\_population \leftarrow empty set
      for i = 1 to Size(population) do
          x \leftarrow \text{RANDOM-SELECTION}(population, \text{FITNESS-FN})
          y \leftarrow \text{RANDOM-SELECTION}(population, \text{FITNESS-FN})
          child \leftarrow REPRODUCE(x, y)
          if (small random probability) then child \leftarrow MUTATE(child)
          add child to new_population
      population \leftarrow new\_population
  until some individual is fit enough, or enough time has elapsed
  return the best individual in population, according to FITNESS-FN
function REPRODUCE(x, y) returns an individual
  inputs: x, y, parent individuals
  n \leftarrow \text{LENGTH}(x); c \leftarrow \text{random number from 1 to } n
  return APPEND(SUBSTRING(x, 1, c), SUBSTRING(y, c + 1, n))
```

A genetic algorithm. The algorithm is the same as the one diagrammed in Figure ??, with

one variation: in this more popular version, each mating of two parents produces only one offspring,

not two.

```
function AND-OR-GRAPH-SEARCH(problem) returns a conditional plan, or failure OR-SEARCH(problem.INITIAL-STATE, problem, [])

function OR-SEARCH(state, problem, path) returns a conditional plan, or failure if problem.GOAL-TEST(state) then return the empty plan if state is on path then return failure for each action in problem.ACTIONS(state) do plan \leftarrow \text{AND-SEARCH}(\text{RESULTS}(state, action), problem, [state \mid path]) if plan \neq failure then return [action \mid plan] return failure

function AND-SEARCH(states, problem, path) returns a conditional plan, or failure for each s_i in states do plan_i \leftarrow \text{OR-SEARCH}(s_i, problem, path) if plan_i = failure then return failure return [if s_1 then plan_1 else if s_2 then plan_2 else . . . if s_{n-1} then plan_{n-1} else plan_n]
```

Figure 4.11 An algorithm for searching AND-OR graphs generated by nondeterministic environments. It returns a conditional plan that reaches a goal state in all circumstances. (The notation $[x \mid l]$ refers to the list formed by adding object x to the front of list l.)

```
function ONLINE-DFS-AGENT(s') returns an action
  inputs: s', a percept that identifies the current state
  persistent: result, a table indexed by state and action, initially empty
               untried, a table that lists, for each state, the actions not yet tried
               unbacktracked, a table that lists, for each state, the backtracks not yet tried
               s, a, the previous state and action, initially null
  if GOAL-TEST(s') then return stop
  if s' is a new state (not in untried) then untried[s'] \leftarrow ACTIONS(s')
  if s is not null then
      result[s, a] \leftarrow s'
      add s to the front of unbacktracked[s']
  if untried[s'] is empty then
      if unbacktracked[s'] is empty then return stop
      else a \leftarrow an action b such that result[s', b] = POP(unbacktracked[s'])
  else a \leftarrow Pop(untried[s'])
  s \leftarrow s'
  return a
```

Figure 4.21 An online search agent that uses depth-first exploration. The agent is applicable only in state spaces in which every action can be "undone" by some other action.

```
function LRTA*-AGENT(s') returns an action
  inputs: s', a percept that identifies the current state
  persistent: result, a table, indexed by state and action, initially empty
                H, a table of cost estimates indexed by state, initially empty
                s, a, the previous state and action, initially null
  if GOAL-TEST(s') then return stop
  if s' is a new state (not in H) then H[s'] \leftarrow h(s')
  if s is not null
      result[s, a] \leftarrow s'
      H[s] \leftarrow \min_{b \in \text{ACTIONS}\,(s)} \text{LRTA*-COST}(s, b, result[s, b], H)
  a \leftarrow an action b in ACTIONS(s') that minimizes LRTA*-COST(s', b, result[s', b], H)
  s \leftarrow s'
  return a
function LRTA*-Cost(s, a, s', H) returns a cost estimate
  if s' is undefined then return h(s)
  else return c(s, a, s') + H[s']
```

Figure 4.24 LRTA*-AGENT selects an action according to the values of neighboring states, which are updated as the agent moves about the state space.

5 ADVERSARIAL SEARCH

```
function Minimax-Decision(state) returns an action return \arg\max_{a\in ACTIONS(s)} Min-Value(Result(state,a))

function Max-Value(state) returns a utility value if Terminal-Test(state) then return Utility(state) v\leftarrow-\infty for each a in ACTIONS(state) do v\leftarrow \text{Max}(v, \text{Min-Value}(\text{Result}(s,a))) return v

function Min-Value(state) returns a utility value if Terminal-Test(state) then return Utility(state) v\leftarrow\infty for each a in ACTIONS(state) do v\leftarrow \text{Min}(v, \text{Max-Value}(\text{Result}(s,a))) return v
```

Figure 5.3 An algorithm for calculating minimax decisions. It returns the action corresponding to the best possible move, that is, the move that leads to the outcome with the best utility, under the assumption that the opponent plays to minimize utility. The functions MAX-VALUE and MIN-VALUE go through the whole game tree, all the way to the leaves, to determine the backed-up value of a state. The notation $\operatorname{argmax}_{a \in S} f(a)$ computes the element a of set S that has the maximum value of f(a).

```
function Alpha-Beta-Search(state) returns an action
   v \leftarrow \text{MAX-VALUE}(state, -\infty, +\infty)
  {f return} the action in ACTIONS(state) with value v
\textbf{function} \ \mathsf{MAX-VALUE}(state,\alpha,\beta) \ \textbf{returns} \ a \ utility \ value
  if Terminal-Test(state) then return Utility(state)
  for each a in ACTIONS(state) do
      v \leftarrow \text{Max}(v, \text{Min-Value}(\text{Result}(s, a), \alpha, \beta))
      if v \geq \beta then return v
      \alpha \leftarrow \text{Max}(\alpha, v)
   \mathbf{return}\ v
function MIN-VALUE(state, \alpha, \beta) returns a utility value
  if TERMINAL-TEST(state) then return UTILITY(state)
  for each a in ACTIONS(state) do
      v \leftarrow \text{Min}(v, \text{Max-Value}(\text{Result}(s, a), \alpha, \beta))
      \text{if } v \ \leq \ \alpha \text{ then return } v
      \beta \leftarrow \text{Min}(\beta, v)
   return v
```

Figure 5.7 The alpha-beta search algorithm. Notice that these routines are the same as the MINIMAX functions in Figure $\ref{MIN-VALUE}$ and MAX-VALUE that maintain α and β (and the bookkeeping to pass these parameters along).

6 CONSTRAINT SATISFACTION PROBLEMS

```
function AC-3(csp) returns false if an inconsistency is found and true otherwise
  inputs: csp, a binary CSP with components (X, D, C)
  local variables: queue, a queue of arcs, initially all the arcs in csp
  while queue is not empty do
     (X_i, X_j) \leftarrow \text{REMOVE-FIRST}(queue)
     if REVISE(csp, X_i, X_j) then
        if size of D_i = 0 then return false
        for each X_k in X_i. NEIGHBORS - \{X_j\} do
          add (X_k, X_i) to queue
  return true
function REVISE(csp, X_i, X_j) returns true iff we revise the domain of X_i
  revised \leftarrow false
  for each x in D_i do
     if no value y in D_j allows (x,y) to satisfy the constraint between X_i and X_j then
        delete x from D_i
        revised \leftarrow true
  return revised
```

Figure 6.3 The arc-consistency algorithm AC-3. After applying AC-3, either every arc is arc-consistent, or some variable has an empty domain, indicating that the CSP cannot be solved. The name "AC-3" was used by the algorithm's inventor (?) because it's the third version developed in the paper.

```
function BACKTRACKING-SEARCH(csp) returns a solution, or failure
  return BACKTRACK(\{\}, csp)
function BACKTRACK(assignment, csp) returns a solution, or failure
  if assignment is complete then return assignment
  var \leftarrow Select-Unassigned-Variable(csp)
  for each value in Order-Domain-Values(var, assignment, csp) do
     if value is consistent with assignment then
         add \{var = value\} to assignment
         inferences \leftarrow Inference(csp, var, value)
         if inferences \neq failure then
           add inferences to assignment
           result \leftarrow BACKTRACK(assignment, csp)
           if result \neq failure then
              return result
     remove \{var = value\} and inferences from assignment
  return failure
```

Figure 6.5 A simple backtracking algorithm for constraint satisfaction problems. The algorithm is modeled on the recursive depth-first search of Chapter ??. By varying the functions Select-Unassigned-Variable and Order-Domain-Values, we can implement the general-purpose heuristics discussed in the text. The function Inference can optionally be used to impose arc-, path-, or *k*-consistency, as desired. If a value choice leads to failure (noticed either by Inference or by Backtrack), then value assignments (including those made by Inference) are removed from the current assignment and a new value is tried.

```
function MIN-CONFLICTS(csp, max\_steps) returns a solution or failure inputs: csp, a constraint satisfaction problem max\_steps, the number of steps allowed before giving up current \leftarrow \text{an initial complete assignment for } csp for i=1 to max\_steps do
   if current is a solution for csp then return current var \leftarrow a randomly chosen conflicted variable from csp. Variables value \leftarrow the value v for var that minimizes Conflicts(var, v, current, csp) set var = value in current return failure
```

Figure 6.8 The MIN-CONFLICTS algorithm for solving CSPs by local search. The initial state may be chosen randomly or by a greedy assignment process that chooses a minimal-conflict value for each variable in turn. The CONFLICTS function counts the number of constraints violated by a particular value, given the rest of the current assignment.

```
function TREE-CSP-SOLVER(csp) returns a solution, or failure inputs: csp, a CSP with components X, D, C

n \leftarrow number of variables in X
assignment \leftarrow an empty assignment root \leftarrow any variable in X
X \leftarrow TOPOLOGICALSORT(X, root)

for j = n down to 2 do

MAKE-ARC-CONSISTENT(PARENT(X_j), X_j)

if it cannot be made consistent then return failure

for i = 1 to n do

assignment[X_i] \leftarrow any consistent value from D_i

if there is no consistent value then return failure

return assignment
```

Figure 6.11 The TREE-CSP-SOLVER algorithm for solving tree-structured CSPs. If the CSP has a solution, we will find it in linear time; if not, we will detect a contradiction.

LOGICAL AGENTS

function KB-AGENT(percept) returns an action

persistent: KB, a knowledge base

t, a counter, initially 0, indicating time

Tell(KB, Make-Percept-Sentence(percept, t)) $action \leftarrow \mathsf{ASK}(KB, \mathsf{MAKE}\text{-}\mathsf{ACTION}\text{-}\mathsf{QUERY}(t))$ ${\tt TELL}(KB, {\tt MAKE-ACTION-SENTENCE}(action, t))$

 $t \leftarrow t + 1$

 ${\bf return} \ action$

A generic knowledge-based agent. Given a percept, the agent adds the percept to its knowledge base, asks the knowledge base for the best action, and tells the knowledge base that it has in fact taken that action.

```
function TT-Entails?(KB, α) returns true or false
inputs: KB, the knowledge base, a sentence in propositional logic
α, the query, a sentence in propositional logic

symbols ← a list of the proposition symbols in KB and α
return TT-CHECK-ALL(KB, α, symbols, { })

function TT-CHECK-ALL(KB, α, symbols, model) returns true or false
if EMPTY?(symbols) then
if PL-TRUE?(KB, model) then return PL-TRUE?(α, model)
else return true // when KB is false, always return true
else do
P ← FIRST(symbols)
rest ← REST(symbols)
return (TT-CHECK-ALL(KB, α, rest, model ∪ {P = true})
and
TT-CHECK-ALL(KB, α, rest, model ∪ {P = false}))
```

Figure 7.8 A truth-table enumeration algorithm for deciding propositional entailment. (TT stands for truth table.) PL-TRUE? returns *true* if a sentence holds within a model. The variable *model* represents a partial model—an assignment to some of the symbols. The keyword "and" is used here as a logical operation on its two arguments, returning *true* or *false*.

```
function PL-RESOLUTION(KB, \alpha) returns true or false
inputs: KB, the knowledge base, a sentence in propositional logic
\alpha, the query, a sentence in propositional logic

clauses \leftarrow the set of clauses in the CNF representation of KB \land \neg \alpha

new \leftarrow \{\}
loop do

for each pair of clauses C_i, C_j in clauses do

resolvents \leftarrow \text{PL-RESOLVE}(C_i, C_j)

if resolvents contains the empty clause then return true

new \leftarrow new \cup resolvents

if new \subseteq clauses then return false

clauses \leftarrow clauses \cup new
```

Figure 7.9 A simple resolution algorithm for propositional logic. The function PL-RESOLVE returns the set of all possible clauses obtained by resolving its two inputs.

18 Chapter 7. Logical Agents

```
function PL-FC-ENTAILS?(KB,q) returns true or false

inputs: KB, the knowledge base, a set of propositional definite clauses q, the query, a proposition symbol count \leftarrow a table, where count[c] is the number of symbols in c's premise inferred \leftarrow a table, where inferred[s] is initially false for all symbols agenda \leftarrow a queue of symbols, initially symbols known to be true in KB

while agenda is not empty do
p \leftarrow POP(agenda)
if p = q then return true
if inferred[p] = false then
inferred[p] \leftarrow true
for each clause c in KB where p is in c.PREMISE do
decrement <math>count[c]
if count[c] = 0 then add c.CONCLUSION to agenda
return false
```

Figure 7.12 The forward-chaining algorithm for propositional logic. The agenda keeps track of symbols known to be true but not yet "processed." The count table keeps track of how many premises of each implication are as yet unknown. Whenever a new symbol p from the agenda is processed, the count is reduced by one for each implication in whose premise p appears (easily identified in constant time with appropriate indexing.) If a count reaches zero, all the premises of the implication are known, so its conclusion can be added to the agenda. Finally, we need to keep track of which symbols have been processed; a symbol that is already in the set of inferred symbols need not be added to the agenda again. This avoids redundant work and prevents loops caused by implications such as $P \Rightarrow Q$ and $Q \Rightarrow P$.

```
function DPLL-SATISFIABLE?(s) returns true or false inputs: s, a sentence in propositional logic 
    clauses ← the set of clauses in the CNF representation of s 
    symbols ← a list of the proposition symbols in s 
    return DPLL(clauses, symbols, { })

function DPLL(clauses, symbols, model) returns true or false 
    if every clause in clauses is true in model then return true 
    if some clause in clauses is false in model then return false 
    P, value ← FIND-PURE-SYMBOL(symbols, clauses, model) 
    if P is non-null then return DPLL(clauses, symbols − P, model ∪ {P=value}) 
    P, value ← FIND-UNIT-CLAUSE(clauses, model) 
    if P is non-null then return DPLL(clauses, symbols − P, model ∪ {P=value}) 
    P ← FIRST(symbols); rest ← REST(symbols) 
    return DPLL(clauses, rest, model ∪ {P=true}) or 
        DPLL(clauses, rest, model ∪ {P=false}))
```

Figure 7.14 The DPLL algorithm for checking satisfiability of a sentence in propositional logic. The ideas behind FIND-PURE-SYMBOL and FIND-UNIT-CLAUSE are described in the text; each returns a symbol (or null) and the truth value to assign to that symbol. Like TT-ENTAILS?, DPLL operates over partial models.

```
function WALKSAT(clauses, p, max\_flips) returns a satisfying model or failure
inputs: clauses, a set of clauses in propositional logic
p, the probability of choosing to do a "random walk" move, typically around 0.5
max\_flips, number of flips allowed before giving up

model \leftarrow a random assignment of true/false to the symbols in clauses

for i=1 to max\_flips do

if model satisfies clauses then return model
clause \leftarrow a randomly selected clause from clauses that is false in model

with probability p flip the value in model of a randomly selected symbol from clause
else flip whichever symbol in clause maximizes the number of satisfied clauses

return failure
```

Figure 7.15 The WALKSAT algorithm for checking satisfiability by randomly flipping the values of variables. Many versions of the algorithm exist.

Chapter 7. Logical Agents

```
\textbf{function} \ \textbf{Hybrid-Wumpus-Agent}(\textit{percept}) \ \textbf{returns} \ \textbf{an} \ \textit{action}
  inputs: percept, a list, [stench,breeze,glitter,bump,scream]
   persistent: KB, a knowledge base, initially the atemporal "wumpus physics"
                t, a counter, initially 0, indicating time
                plan, an action sequence, initially empty
   Tell(KB, Make-Percept-Sentence(percept, t))
   TELL the KB the temporal "physics" sentences for time t
   safe \leftarrow \{[x, y] : Ask(KB, OK_{x,y}^t) = true\}
   if Ask(KB, Glitter^t) = true then
     plan \leftarrow [\mathit{Grab}] + \mathsf{PLAN}\text{-}\mathsf{ROUTE}(\mathit{current}, \{[1,\!1]\}, \mathit{safe}) + [\mathit{Climb}]
  if plan is empty then
     unvisited \leftarrow \{[x, y] : ASK(KB, L_{x,y}^{t'}) = false \text{ for all } t' \leq t\}
     plan \leftarrow Plan-Route(current, unvisited \cap safe, safe)
  if plan is empty and Ask(KB, HaveArrow^t) = true then
     possible\_wumpus \leftarrow \{[x, y] : Ask(KB, \neg W_{x,y}) = false\}
     plan \leftarrow PLAN-SHOT(current, possible\_wumpus, safe)
   if plan is empty then // no choice but to take a risk
     \textit{not\_unsafe} \leftarrow \{[x,y] \; : \; \mathsf{Ask}(\mathit{KB}, \neg \; \mathit{OK}^t_{x,y}) = \mathit{false}\}
     plan \leftarrow PLAN-ROUTE(current, unvisited \cap not\_unsafe, safe)
  if plan is empty then
     plan \leftarrow Plan-Route(current, \{[1, 1]\}, safe) + [Climb]
   action \leftarrow Pop(plan)
   Tell(KB, Make-Action-Sentence(action, t))
   t \leftarrow t + 1
   return action
function PLAN-ROUTE(current, goals, allowed) returns an action sequence
  inputs: current, the agent's current position
            goals, a set of squares; try to plan a route to one of them
            allowed, a set of squares that can form part of the route
   problem \leftarrow ROUTE-PROBLEM(current, goals, allowed)
   return A*-GRAPH-SEARCH(problem)
```

Figure 7.17 A hybrid agent program for the wumpus world. It uses a propositional knowledge base to infer the state of the world, and a combination of problem-solving search and domain-specific code to decide what actions to take.

```
\begin{aligned} &\textbf{function SATPLAN}(init,\ transition,\ goal,T_{\max})\ \textbf{returns}\ \text{solution or failure}\\ &\textbf{inputs}:\ init,\ transition,\ goal,\ \text{constitute}\ \text{a}\ \text{description of the problem}\\ &T_{\max},\ \text{an upper limit for plan length} \end{aligned} \begin{aligned} &\textbf{for}\ t = 0\ \textbf{to}\ T_{\max}\ \textbf{do}\\ &cnf \leftarrow \text{TRANSLATE-TO-SAT}(init,\ transition,\ goal,t)\\ &model \leftarrow \text{SAT-SOLVER}(cnf)\\ &\textbf{if}\ model\ \text{is not null\ }\textbf{then}\\ &\textbf{return } \text{EXTRACT-SOLUTION}(model)\\ &\textbf{return}\ failure \end{aligned}
```

Figure 7.19 The SATPLAN algorithm. The planning problem is translated into a CNF sentence in which the goal is asserted to hold at a fixed time step t and axioms are included for each time step up to t. If the satisfiability algorithm finds a model, then a plan is extracted by looking at those proposition symbols that refer to actions and are assigned true in the model. If no model exists, then the process is repeated with the goal moved one step later.

FIRST-ORDER LOGIC

9 INFERENCE IN FIRST-ORDER LOGIC

```
function UNIFY(x, y, \theta) returns a substitution to make x and y identical
  inputs: x, a variable, constant, list, or compound expression
           y, a variable, constant, list, or compound expression
           \theta, the substitution built up so far (optional, defaults to empty)
  if \theta = failure then return failure
  else if x = y then return \theta
  else if Variable?(x) then return Unify-Var(x, y, \theta)
  else if Variable?(y) then return Unify-Var(y, x, \theta)
  else if COMPOUND?(x) and COMPOUND?(y) then
      return UNIFY(x.ARGS, y.ARGS, UNIFY(x.OP, y.OP, \theta))
  else if LIST?(x) and LIST?(y) then
      return UNIFY(x.REST, y.REST, UNIFY(x.FIRST, y.FIRST, \theta))
  else return failure
function UNIFY-VAR(var, x, \theta) returns a substitution
  if \{var/val\} \in \theta then return UNIFY(val, x, \theta)
  else if \{x/val\} \in \theta then return UNIFY(var, val, \theta)
  else if Occur-Check?(var, x) then return failure
  else return add \{var/x\} to \theta
```

puts, element by element. The substitution θ that is the argument to UNIFY is built up along the way and is used to make sure that later comparisons are consistent with bindings that were established earlier. In a compound expression such as F(A,B), the OP field picks out the function symbol F and the ARGS field picks out the argument list (A,B).

The unification algorithm. The algorithm works by comparing the structures of the in-

Figure 9.1

```
function FOL-FC-ASK(KB, \alpha) returns a substitution or false
  inputs: KB, the knowledge base, a set of first-order definite clauses
            \alpha, the query, an atomic sentence
  local variables: new, the new sentences inferred on each iteration
   repeat until new is empty
       new \leftarrow \{\ \}
       for each rule in KB do
            (p_1 \land \ldots \land p_n \Rightarrow q) \leftarrow STANDARDIZE-VARIABLES(rule)
            for each \theta such that SUBST(\theta, p_1 \land \ldots \land p_n) = \text{SUBST}(\theta, p'_1 \land \ldots \land p'_n)
                         for some p'_1, \ldots, p'_n in KB
                q' \leftarrow \text{SUBST}(\theta, q)
                if q' does not unify with some sentence already in KB or new then
                    add q' to new
                    \phi \leftarrow \text{UNIFY}(q', \alpha)
                    if \phi is not fail then return \phi
       add new to KB
   return false
```

Figure 9.3 A conceptually straightforward, but very inefficient, forward-chaining algorithm. On each iteration, it adds to KB all the atomic sentences that can be inferred in one step from the implication sentences and the atomic sentences already in KB. The function STANDARDIZE-VARIABLES replaces all variables in its arguments with new ones that have not been used before.

```
function FOL-BC-Ask(KB, query) returns a generator of substitutions return FOL-BC-Or(KB, query, \{\})

generator FOL-BC-Or(KB, query, \{\})

generator FOL-BC-Or(KB, qoal, \theta) yields a substitution for each rule (lhs \Rightarrow rhs) in Fetch-Rules-For-Goal(KB, goal) do (lhs, rhs) \leftarrow Standardize-Variables((lhs, rhs))

for each \theta' in FOL-BC-And(KB, lhs, Unify(rhs, goal, \theta)) do yield \theta'

generator FOL-BC-And(KB, goals, \theta) yields a substitution if \theta = failure then return else if Length(goals) = 0 then yield \theta else do

first, rest \leftarrow First(goals), Rest(goals)

for each \theta' in FOL-BC-Or(KB, Subst(\theta, first), \theta) do

for each \theta'' in FOL-BC-And(KB, rest, \theta') do

yield \theta''
```

Figure 9.6 A simple backward-chaining algorithm for first-order knowledge bases.

```
procedure APPEND(ax, y, az, continuation)

trail \leftarrow \text{GLOBAL-TRAIL-POINTER}()

if ax = [] and \text{UNIFY}(y, az) then \text{CALL}(continuation})

RESET-TRAIL(trail)

a, x, z \leftarrow \text{New-Variable}(), \text{New-Variable}(), \text{New-Variable}()

if \text{UNIFY}(ax, [a \mid x]) and \text{UNIFY}(az, [a \mid z]) then \text{APPEND}(x, y, z, continuation})
```

Figure 9.8 Pseudocode representing the result of compiling the Append predicate. The function NEW-VARIABLE returns a new variable, distinct from all other variables used so far. The procedure CALL(continuation) continues execution with the specified continuation.

1 CLASSICAL PLANNING

```
Init(At(C_1, SFO) \land At(C_2, JFK) \land At(P_1, SFO) \land At(P_2, JFK) \\ \land Cargo(C_1) \land Cargo(C_2) \land Plane(P_1) \land Plane(P_2) \\ \land Airport(JFK) \land Airport(SFO)) \\ Goal(At(C_1, JFK) \land At(C_2, SFO)) \\ Action(Load(c, p, a), \\ PRECOND: At(c, a) \land At(p, a) \land Cargo(c) \land Plane(p) \land Airport(a) \\ Effect: \neg At(c, a) \land In(c, p)) \\ Action(Unload(c, p, a), \\ PRECOND: In(c, p) \land At(p, a) \land Cargo(c) \land Plane(p) \land Airport(a) \\ Effect: At(c, a) \land \neg In(c, p)) \\ Action(Fly(p, from, to), \\ PRECOND: At(p, from) \land Plane(p) \land Airport(from) \land Airport(to) \\ Effect: \neg At(p, from) \land At(p, to))
```

Figure 10.1 A PDDL description of an air cargo transportation planning problem.

```
Init(Tire(Flat) \land Tire(Spare) \land At(Flat, Axle) \land At(Spare, Trunk))
Goal(At(Spare, Axle))
Action(Remove(obj, loc),
PRECOND: At(obj, loc) \land At(obj, Ground))
Action(PutOn(t, Axle),
PRECOND: Tire(t) \land At(t, Ground) \land \neg At(Flat, Axle)
Effect: \neg At(t, Ground) \land At(t, Axle))
Action(LeaveOvernight,
PRECOND:
Effect: \neg At(Spare, Ground) \land \neg At(Spare, Axle) \land \neg At(Spare, Trunk)
\land \neg At(Flat, Ground) \land \neg At(Flat, Axle) \land \neg At(Flat, Trunk))
```

Figure 10.2 The simple spare tire problem.

```
Init(On(A, Table) \land On(B, Table) \land On(C, A) \\ \land Block(A) \land Block(B) \land Block(C) \land Clear(B) \land Clear(C)) \\ Goal(On(A, B) \land On(B, C)) \\ Action(Move(b, x, y), \\ PRECOND: On(b, x) \land Clear(b) \land Clear(y) \land Block(b) \land Block(y) \land \\ (b \neq x) \land (b \neq y) \land (x \neq y), \\ Effect: On(b, y) \land Clear(x) \land \neg On(b, x) \land \neg Clear(y)) \\ Action(MoveToTable(b, x), \\ PRECOND: On(b, x) \land Clear(b) \land Block(b) \land (b \neq x), \\ Effect: On(b, Table) \land Clear(x) \land \neg On(b, x)) \\
```

Figure 10.3 A planning problem in the blocks world: building a three-block tower. One solution is the sequence [MoveToTable(C, A), Move(B, Table, C), Move(A, Table, B)].

```
Init(Have(Cake))
Goal(Have(Cake) \land Eaten(Cake))
Action(Eat(Cake)
PRECOND: Have(Cake)
EffECT: \neg Have(Cake) \land Eaten(Cake))
Action(Bake(Cake)
PRECOND: \neg Have(Cake)
EffECT: Have(Cake)
```

Figure 10.7 The "have cake and eat cake too" problem.

```
function Graphplan(problem) returns solution or failure graph \leftarrow \text{Initial-Planning-Graph}(problem) goals \leftarrow \text{Conjuncts}(problem.\text{Goal}) nogoods \leftarrow \text{conjuncts}(problem.\text{Goal}) nogoods \leftarrow \text{an empty hash table} for tl = 0 to \infty do

if goals all non-mutex in S_t of graph then solution \leftarrow \text{Extract-Solution}(graph, goals, \text{NumLevels}(graph), nogoods) if solution \neq failure then return solution if graph and nogoods have both leveled off then return failure graph \leftarrow \text{Expand-Graph}(graph, problem)
```

Figure 10.9 The Graphplan algorithm. Graphplan calls Expand-Graph to add a level until either a solution is found by Extract-Solution, or no solution is possible.

1 1 PLANNING AND ACTING IN THE REAL WORLD

```
Jobs(\{AddEngine1 \prec AddWheels1 \prec Inspect1\}, \\ \{AddEngine2 \prec AddWheels2 \prec Inspect2\})
Resources(EngineHoists(1), WheelStations(1), Inspectors(2), LugNuts(500))
Action(AddEngine1, \text{Duration}:30, \\ \text{USE}: EngineHoists(1))
Action(AddEngine2, \text{Duration}:60, \\ \text{USE}: EngineHoists(1))
Action(AddWheels1, \text{Duration}:30, \\ \text{Consume}: LugNuts(20), \text{Use}: WheelStations(1))
Action(AddWheels2, \text{Duration}:15, \\ \text{Consume}: LugNuts(20), \text{Use}: WheelStations(1))
Action(Inspect_i, \text{Duration}:10, \\ \text{Use}: Inspectors(1))
```

Figure 11.1 A job-shop scheduling problem for assembling two cars, with resource constraints. The notation $A \prec B$ means that action A must precede action B.

```
Refinement(Go(Home, SFO), \\ STEPS: [Drive(Home, SFOLongTermParking), \\ Shuttle(SFOLongTermParking, SFO)])\\ Refinement(Go(Home, SFO), \\ STEPS: [Taxi(Home, SFO)])\\ \\ Refinement(Navigate([a,b],[x,y]), \\ PRECOND: a = x \land b = y \\ STEPS: [])\\ Refinement(Navigate([a,b],[x,y]), \\ PRECOND: Connected([a,b],[a-1,b]) \\ STEPS: [Left, Navigate([a-1,b],[x,y])])\\ Refinement(Navigate([a,b],[x,y]), \\ PRECOND: Connected([a,b],[x,y]), \\ PRECOND: Connected([a,b],[a+1,b]) \\ STEPS: [Right, Navigate([a+1,b],[x,y])])\\ ...
```

Figure 11.4 Definitions of possible refinements for two high-level actions: going to San Francisco airport and navigating in the vacuum world. In the latter case, note the recursive nature of the refinements and the use of preconditions.

```
function HIERARCHICAL-SEARCH(problem, hierarchy) returns a solution, or failure frontier \leftarrow a FIFO queue with [Act] as the only element loop do

if EMPTY?(frontier) then return failure

plan \leftarrow POP(frontier) /* chooses the shallowest plan in frontier */

hla \leftarrow the first HLA in plan, or null if none

prefix, suffix \leftarrow the action subsequences before and after hla in plan

outcome \leftarrow RESULT(problem.INITIAL-STATE, prefix)

if hla is null then /* so plan is primitive and outcome is its result */

if outcome satisfies problem.GOAL then return plan

else for each sequence in REFINEMENTS(hla, outcome, hierarchy) do

frontier \leftarrow INSERT(APPEND(prefix, sequence, suffix), frontier)
```

Figure 11.5 A breadth-first implementation of hierarchical forward planning search. The initial plan supplied to the algorithm is [*Act*]. The REFINEMENTS function returns a set of action sequences, one for each refinement of the HLA whose preconditions are satisfied by the specified state, *outcome*.

```
function Angelic-Search(problem, hierarchy, initialPlan) returns solution or fail
  frontier \leftarrow a FIFO queue with initialPlan as the only element
  loop do
      if Empty?(frontier) then return fail
      plan \leftarrow \text{POP}(frontier) /* chooses the shallowest node in frontier */
      if REACH<sup>+</sup>(problem.INITIAL-STATE, plan) intersects problem.GOAL then
          if plan is primitive then return plan /* REACH<sup>+</sup> is exact for primitive plans */
          guaranteed \leftarrow Reach^-(problem.Initial-State, plan) \cap problem.Goal
          if guaranteed \neq \{\} and MAKING-PROGRESS(plan, initialPlan\}) then
              finalState \leftarrow any element of guaranteed
              return DECOMPOSE(hierarchy, problem.INITIAL-STATE, plan, finalState)
          hla \leftarrow \text{some HLA in } plan
          prefix, suffix \leftarrow the action subsequences before and after hla in plan
          for each sequence in Refinements(hla, outcome, hierarchy) do
              frontier \leftarrow Insert(Append(prefix, sequence, suffix), frontier)
function DECOMPOSE(hierarchy, s_0, plan, s_f) returns a solution
  solution \leftarrow an empty plan
  while plan is not empty do
     action \leftarrow Remove-Last(plan)
     s_i \leftarrow \text{a state in REACH}^-(s_0, plan) \text{ such that } s_f \in \text{REACH}^-(s_i, action)
     problem \leftarrow a problem with INITIAL-STATE = s_i and GOAL = s_f
     solution \leftarrow Append(Angelic-Search(problem, hierarchy, action), solution)
     s_f \leftarrow s_i
  return solution
```

Figure 11.8 A hierarchical planning algorithm that uses angelic semantics to identify and commit to high-level plans that work while avoiding high-level plans that don't. The predicate MAKING-PROGRESS checks to make sure that we aren't stuck in an infinite regression of refinements. At top level, call ANGELIC-SEARCH with [Act] as the initialPlan.

```
Actors(A,B) \\ Init(At(A, LeftBaseline) \land At(B, RightNet) \land \\ Approaching(Ball, RightBaseline)) \land Partner(A,B) \land Partner(B,A) \\ Goal(Returned(Ball) \land (At(a, RightNet) \lor At(a, LeftNet)) \\ Action(Hit(actor, Ball), \\ PRECOND:Approaching(Ball, loc) \land At(actor, loc) \\ Effect:Returned(Ball)) \\ Action(Go(actor, to), \\ PRECOND:At(actor, loc) \land to \neq loc, \\ Effect:At(actor, to) \land \neg At(actor, loc)) \\ \\
```

Figure 11.10 The doubles tennis problem. Two actors A and B are playing together and can be in one of four locations: LeftBaseline, RightBaseline, LeftNet, and RightNet. The ball can be returned only if a player is in the right place. Note that each action must include the actor as an argument.

12 KNOWLEDGE REPRESENTATION

13 QUANTIFYING UNCERTAINTY

function DT-AGENT(percept) returns an action

persistent: $belief_state$, probabilistic beliefs about the current state of the world action, the agent's action

update belief_state based on action and percept
calculate outcome probabilities for actions,
 given action descriptions and current belief_state
select action with highest expected utility
 given probabilities of outcomes and utility information
return action

Figure 13.1 A decision-theoretic agent that selects rational actions.

14 PROBABILISTIC REASONING

Figure 14.9

```
function ENUMERATION-ASK(X, \mathbf{e}, bn) returns a distribution over X
   inputs: X, the query variable
            e, observed values for variables E
             bn, a Bayes net with variables \{X\} \cup \mathbf{E} \cup \mathbf{Y} / \star \mathbf{Y} = hidden \ variables \star /
   \mathbf{Q}(X) \leftarrow a distribution over X, initially empty
   for each value x_i of X do
       \mathbf{Q}(x_i) \leftarrow \text{ENUMERATE-ALL}(bn. \text{VARS}, \mathbf{e}_{x_i})
            where \mathbf{e}_{x_i} is \mathbf{e} extended with X = x_i
   return Normalize(\mathbf{Q}(X))
function ENUMERATE-ALL(vars, e) returns a real number
   if EMPTY?(vars) then return 1.0
   Y \leftarrow \text{FIRST}(vars)
   if Y has value y in e
       then return P(y \mid parents(Y)) \times \text{Enumerate-All(Rest(vars), e)}
       else return \sum_y P(y \mid parents(Y)) \times \text{Enumerate-All(Rest(}vars),} \mathbf{e}_y)
            where \mathbf{e}_y is \mathbf{e} extended with Y = y
```

```
function ELIMINATION-ASK(X, \mathbf{e}, bn) returns a distribution over X inputs: X, the query variable

\mathbf{e}, observed values for variables \mathbf{E}
bn, a Bayesian network specifying joint distribution \mathbf{P}(X_1, \dots, X_n)

factors \leftarrow []

for each var in \mathsf{ORDER}(bn.\mathsf{VARS}) do

factors \leftarrow [MAKE-FACTOR(var, \mathbf{e})|factors]

if var is a hidden variable then factors \leftarrow \mathsf{SUM-OUT}(var, factors)

return \mathsf{NORMALIZE}(\mathsf{POINTWISE-PRODUCT}(factors))
```

The enumeration algorithm for answering queries on Bayesian networks.

Figure 14.10 The variable elimination algorithm for inference in Bayesian networks.

return x

```
function PRIOR-SAMPLE(bn) returns an event sampled from the prior specified by bn inputs: bn, a Bayesian network specifying joint distribution \mathbf{P}(X_1,\ldots,X_n)
\mathbf{x} \leftarrow \text{an event with } n \text{ elements}
\mathbf{foreach} \text{ variable } X_i \text{ in } X_1,\ldots,X_n \text{ do}
\mathbf{x}[i] \leftarrow \text{a random sample from } \mathbf{P}(X_i \mid parents(X_i))
```

Figure 14.12 A sampling algorithm that generates events from a Bayesian network. Each variable is sampled according to the conditional distribution given the values already sampled for the variable's parents.

```
function REJECTION-SAMPLING(X, \mathbf{e}, bn, N) returns an estimate of \mathbf{P}(X|\mathbf{e}) inputs: X, the query variable

\mathbf{e}, observed values for variables \mathbf{E}
bn, a Bayesian network
N, the total number of samples to be generated local variables: \mathbf{N}, a vector of counts for each value of X, initially zero

for j=1 to N do

\mathbf{x} \leftarrow \text{PRIOR-SAMPLE}(bn)
if \mathbf{x} is consistent with \mathbf{e} then

\mathbf{N}[x] \leftarrow \mathbf{N}[x] + 1 where x is the value of X in \mathbf{x}
return \text{NORMALIZE}(\mathbf{N})
```

Figure 14.13 The rejection-sampling algorithm for answering queries given evidence in a Bayesian network.

```
function LIKELIHOOD-WEIGHTING(X, \mathbf{e}, bn, N) returns an estimate of \mathbf{P}(X|\mathbf{e})
   inputs: X, the query variable
            e, observed values for variables E
             bn, a Bayesian network specifying joint distribution \mathbf{P}(X_1,\ldots,X_n)
             N, the total number of samples to be generated
   local variables: W, a vector of weighted counts for each value of X, initially zero
   for j = 1 to N do
       \mathbf{x}, w \leftarrow \text{Weighted-Sample}(bn, \mathbf{e})
       \mathbf{W}[x] \leftarrow \mathbf{W}[x] + w where x is the value of X in \mathbf{x}
   return NORMALIZE(W)
function Weighted-Sample(bn, \mathbf{e}) returns an event and a weight
   w \leftarrow 1; \mathbf{x} \leftarrow an event with n elements initialized from \mathbf{e}
   foreach variable X_i in X_1, \ldots, X_n do
       if X_i is an evidence variable with value x_i in e
            then w \leftarrow w \times P(X_i = x_i \mid parents(X_i))
            else \mathbf{x}[i] \leftarrow a random sample from \mathbf{P}(X_i \mid parents(X_i))
   return x, w
```

Figure 14.14 The likelihood-weighting algorithm for inference in Bayesian networks. In WEIGHTED-SAMPLE, each nonevidence variable is sampled according to the conditional distribution given the values already sampled for the variable's parents, while a weight is accumulated based on the likelihood for each evidence variable.

```
function GIBBS-ASK(X, \mathbf{e}, bn, N) returns an estimate of \mathbf{P}(X|\mathbf{e}) local variables: \mathbf{N}, a vector of counts for each value of X, initially zero \mathbf{Z}, the nonevidence variables in bn \mathbf{x}, the current state of the network, initially copied from \mathbf{e} initialize \mathbf{x} with random values for the variables in \mathbf{Z} for j=1 to N do for each Z_i in \mathbf{Z} do set the value of Z_i in \mathbf{x} by sampling from \mathbf{P}(Z_i|mb(Z_i)) \mathbf{N}[x] \leftarrow \mathbf{N}[x] + 1 where x is the value of X in \mathbf{x} return NORMALIZE(\mathbf{N})
```

Figure 14.15 The Gibbs sampling algorithm for approximate inference in Bayesian networks; this version cycles through the variables, but choosing variables at random also works.

PROBABILISTIC REASONING OVER TIME

```
function FORWARD-BACKWARD(ev, prior) returns a vector of probability distributions inputs: ev, a vector of evidence values for steps 1, \ldots, t prior, the prior distribution on the initial state, \mathbf{P}(\mathbf{X}_0) local variables: fv, a vector of forward messages for steps 0, \ldots, t b, a representation of the backward message, initially all 1s sv, a vector of smoothed estimates for steps 1, \ldots, t fv[0] \leftarrow prior for i = 1 to t do fv[i] \leftarrow FORWARD(fv[i - 1], ev[i]) for i = t downto 1 do sv[i] \leftarrow NORMALIZE(fv[i] \times b) b \leftarrow BACKWARD(b, ev[i]) return sv
```

Figure 15.4 The forward–backward algorithm for smoothing: computing posterior probabilities of a sequence of states given a sequence of observations. The FORWARD and BACKWARD operators are defined by Equations (??) and (??), respectively.

```
function FIXED-LAG-SMOOTHING(e_t, hmm, d) returns a distribution over \mathbf{X}_{t-d}
   inputs: e_t, the current evidence for time step t
             hmm, a hidden Markov model with S \times S transition matrix T
             d, the length of the lag for smoothing
   persistent: t, the current time, initially 1
                  f, the forward message P(X_t|e_{1:t}), initially hmm.PRIOR
                  B, the d-step backward transformation matrix, initially the identity matrix
                  e_{t-d:t}, double-ended list of evidence from t-d to t, initially empty
   local variables: \mathbf{O}_{t-d}, \mathbf{O}_t, diagonal matrices containing the sensor model information
   add e_t to the end of e_{t-d:t}
   \mathbf{O}_t \leftarrow \text{diagonal matrix containing } \mathbf{P}(e_t|X_t)
   if t > d then
       \mathbf{f} \leftarrow \text{FORWARD}(\mathbf{f}, e_t)
       remove e_{t-d-1} from the beginning of e_{t-d:t}
       \mathbf{O}_{t-d} \leftarrow \text{diagonal matrix containing } \mathbf{P}(e_{t-d}|X_{t-d})
       \mathbf{B} \leftarrow \mathbf{O}_{t-d}^{-1} \mathbf{T}^{-1} \mathbf{B} \mathbf{T} \mathbf{O}_t
   else \mathbf{B} \leftarrow \mathbf{BTO}_t
   t \leftarrow t + 1
   if t>d then return Normalize(\mathbf{f}\times\mathbf{B1}) else return null
```

Figure 15.6 An algorithm for smoothing with a fixed time lag of d steps, implemented as an online algorithm that outputs the new smoothed estimate given the observation for a new time step. Notice that the final output NORMALIZE($\mathbf{f} \times \mathbf{B1}$) is just $\alpha \mathbf{f} \times \mathbf{b}$, by Equation (??).

```
function Particle-Filtering(e, N, dbn) returns a set of samples for the next time step inputs: e, the new incoming evidence N, the number of samples to be maintained dbn, a DBN with prior \mathbf{P}(\mathbf{X}_0), transition model \mathbf{P}(\mathbf{X}_1|\mathbf{X}_0), sensor model \mathbf{P}(\mathbf{E}_1|\mathbf{X}_1) persistent: S, a vector of samples of size N, initially generated from \mathbf{P}(\mathbf{X}_0) local variables: W, a vector of weights of size N for i=1 to N do S[i] \leftarrow \text{sample from } \mathbf{P}(\mathbf{X}_1 \mid \mathbf{X}_0 = S[i]) \quad /* \text{ step } 1 */ \\ W[i] \leftarrow \mathbf{P}(\mathbf{e} \mid \mathbf{X}_1 = S[i]) \qquad /* \text{ step } 2 */ \\ S \leftarrow \text{WEIGHTED-SAMPLE-WITH-REPLACEMENT}(N, S, W) \qquad /* \text{ step } 3 */ \\ \text{return } S
```

Figure 15.17 The particle filtering algorithm implemented as a recursive update operation with state (the set of samples). Each of the sampling operations involves sampling the relevant slice variables in topological order, much as in PRIOR-SAMPLE. The WEIGHTED-SAMPLE-WITH-REPLACEMENT operation can be implemented to run in O(N) expected time. The step numbers refer to the description in the text.

16 MAKING SIMPLE DECISIONS

```
function Information-Gathering-Agent(percept) returns an action persistent: D, a decision network integrate percept into D j \leftarrow the value that maximizes VPI(E_j) / Cost(E_j) if VPI(E_j) > Cost(E_j) return Request(E_j) else return the best action from D
```

Figure 16.9 Design of a simple information-gathering agent. The agent works by repeatedly selecting the observation with the highest information value, until the cost of the next observation is greater than its expected benefit.

17 MAKING COMPLEX DECISIONS

```
\begin{array}{l} \textbf{function Value-Iteration}(mdp,\epsilon) \ \textbf{returns} \ \text{a utility function} \\ \textbf{inputs:} \ mdp, \ \text{an MDP with states} \ S, \ \text{actions} \ A(s), \ \text{transition model} \ P(s'\mid s,a), \\ \text{rewards} \ R(s), \ \text{discount} \ \gamma \\ \epsilon, \ \text{the maximum error allowed in the utility of any state} \\ \textbf{local variables:} \ U, \ U', \ \text{vectors of utilities for states in} \ S, \ \text{initially zero} \\ \delta, \ \text{the maximum change in the utility of any state in an iteration} \\ \textbf{repeat} \\ U \leftarrow U'; \ \delta \leftarrow 0 \\ \textbf{for each state} \ s \ \textbf{in} \ S \ \textbf{do} \\ U'[s] \leftarrow R(s) + \gamma \max_{a \ \in A(s)} \sum_{s'} P(s'\mid s,a) \ U[s'] \\ \textbf{if} \ |U'[s] - U[s]| > \delta \ \textbf{then} \ \delta \leftarrow |U'[s] - U[s]| \\ \textbf{until} \ \delta < \epsilon(1-\gamma)/\gamma \\ \textbf{return} \ U \end{aligned}
```

Figure 17.4 The value iteration algorithm for calculating utilities of states. The termination condition is from Equation (??).

```
function Policy-Iteration(mdp) returns a policy inputs: mdp, an MDP with states S, actions A(s), transition model P(s' \mid s, a) local variables: U, a vector of utilities for states in S, initially zero \pi, a policy vector indexed by state, initially random repeat U \leftarrow \text{Policy-Evaluation}(\pi, U, mdp) unchanged? \leftarrow \text{true} for each state s in S do if \max_{a \in A(s)} \sum_{s'} P(s' \mid s, a) \ U[s'] > \sum_{s'} P(s' \mid s, \pi[s]) \ U[s'] then do \pi[s] \leftarrow \underset{a \in A(s)}{\operatorname{argmax}} \sum_{s'} P(s' \mid s, a) \ U[s'] unchanged? \leftarrow \text{false} until unchanged? return \pi
```

Figure 17.7 The policy iteration algorithm for calculating an optimal policy.

```
function POMDP-VALUE-ITERATION(pomdp, \epsilon) returns a utility function inputs: pomdp, a POMDP with states S, actions A(s), transition model P(s' \mid s, a), sensor model P(e \mid s), rewards R(s), discount \gamma
\epsilon, the maximum error allowed in the utility of any state local variables: U, U', sets of plans p with associated utility vectors \alpha_p
U' \leftarrow \text{a set containing just the empty plan } [], \text{ with } \alpha_{[]}(s) = R(s)
repeat
U \leftarrow U'
U' \leftarrow \text{the set of all plans consisting of an action and, for each possible next percept, a plan in <math>U with utility vectors computed according to Equation (??)
U' \leftarrow \text{REMOVE-DOMINATED-PLANS}(U')
until MAX-DIFFERENCE(U, U') < \epsilon(1-\gamma)/\gamma
return U
```

Figure 17.9 A high-level sketch of the value iteration algorithm for POMDPs. The REMOVE-DOMINATED-PLANS step and MAX-DIFFERENCE test are typically implemented as linear programs.

18 LEARNING FROM EXAMPLES

Figure 18.4 The decision-tree learning algorithm. The function IMPORTANCE is described in Section ??. The function PLURALITY-VALUE selects the most common output value among a set of examples, breaking ties randomly.

```
function Cross-Validation-Wrapper(Learner, k, examples) returns a hypothesis
  local variables: errT, an array, indexed by size, storing training-set error rates
                    err V, an array, indexed by size, storing validation-set error rates
  for size = 1 to \infty do
      errT[size], errV[size] \leftarrow CROSS-VALIDATION(Learner, size, k, examples)
      if errT has converged then do
          best\_size \leftarrow \text{the value of } size \text{ with minimum } errV[size]
          return Learner (best_size, examples)
function Cross-Validation(Learner, size, k, examples) returns two values:
          average training set error rate, average validation set error rate
  fold\_errT \leftarrow 0; fold\_errV \leftarrow 0
  for fold = 1 to k do
      training\_set, validation\_set \leftarrow PARTITION(examples, fold, k)
      h \leftarrow Learner(size, training\_set)
      fold\_errT \leftarrow fold\_errT + Error-Rate(h, training\_set)
      fold\_errV \leftarrow fold\_errV + Error-Rate(h, validation\_set)
  return fold\_errT/k, fold\_errV/k
```

Figure 18.7 An algorithm to select the model that has the lowest error rate on validation data by building models of increasing complexity, and choosing the one with best empirical error rate on validation data. Here errT means error rate on the training data, and errV means error rate on the validation data. Learner(size, examples) returns a hypothesis whose complexity is set by the parameter size, and which is trained on the examples. Partition(examples, fold, k) splits examples into two subsets: a validation set of size N/k and a training set with all the other examples. The split is different for each value of fold.

```
function DECISION-LIST-LEARNING(examples) returns a decision list, or failure  \begin{aligned} & \textbf{if} \ examples \ \text{is} \ empty \ \textbf{then} \ \textbf{return} \ \text{the} \ \textbf{trivial} \ \text{decision} \ \text{list} \ No \\ & t \leftarrow \textbf{a} \ \text{test} \ \text{that} \ \text{matches} \ \textbf{a} \ \text{nonempty} \ \text{subset} \ examples_t \ \text{of} \ examples \\ & \text{such that the members of} \ examples_t \ \text{are} \ \text{all positive} \ \text{or} \ \text{all negative} \\ & \textbf{if} \ \text{there} \ \text{is} \ \text{no} \ \text{such} \ t \ \textbf{then} \ \textbf{return} \ failure \\ & \textbf{if} \ \text{the} \ \text{examples} \ \text{in} \ examples_t \ \text{are} \ \text{positive} \ \textbf{then} \ o \leftarrow Yes \ \textbf{else} \ o \leftarrow No \\ & \textbf{return} \ \textbf{a} \ \text{decision} \ \text{list} \ \text{with} \ \text{initial} \ \text{test} \ t \ \text{and} \ \text{outcome} \ o \ \text{and} \ \text{remaining} \ \text{tests} \ \text{given} \ \text{by} \\ & \text{DECISION-LIST-LEARNING}(examples \ - \ examples_t) \end{aligned}
```

Figure 18.10 An algorithm for learning decision lists.

```
function BACK-PROP-LEARNING(examples, network) returns a neural network
  inputs: examples, a set of examples, each with input vector x and output vector y
             network, a multilayer network with L layers, weights w_{i,j}, activation function g
  local variables: \Delta, a vector of errors, indexed by network node
  repeat
       for each weight w_{i,j} in network do
            w_{i,j} \leftarrow a small random number
       for each example (x, y) in examples do
            / * Propagate the inputs forward to compute the outputs */
            for each node i in the input layer do
                a_i \leftarrow x_i
            for \ell = 2 to L do
                for each node j in layer \ell do
                     in_j \leftarrow \sum_i w_{i,j} \ a_ia_j \leftarrow g(in_j)
            /* Propagate deltas backward from output layer to input layer */
            for each node j in the output layer do
            \Delta[j] \leftarrow g'(in_j) \times (y_j - a_j)
for \ell = L - 1 to 1 do
                \mathbf{for} \ \mathbf{each} \ \mathsf{node} \ i \ \mathsf{in} \ \mathsf{layer} \ \ell \ \mathbf{do}
                     \Delta[i] \leftarrow g'(in_i) \sum_j w_{i,j} \Delta[j]
            / * Update every weight in network using deltas */
            for each weight w_{i,j} in network do
               w_{i,j} \leftarrow w_{i,j} + \alpha \times a_i \times \Delta[j]
   until some stopping criterion is satisfied
  return network
```

Figure 18.23 The back-propagation algorithm for learning in multilayer networks.

```
function ADABOOST(examples, L, K) returns a weighted-majority hypothesis
  inputs: examples, set of N labeled examples (x_1, y_1), \ldots, (x_N, y_N)
             L, a learning algorithm
             K, the number of hypotheses in the ensemble
  local variables: w, a vector of N example weights, initially 1/N
                       \mathbf{h}, a vector of K hypotheses
                       \mathbf{z}, a vector of K hypothesis weights
  for k = 1 to K do
       \mathbf{h}[k] \leftarrow L(examples, \mathbf{w})
       error \leftarrow 0
       for j = 1 to N do
            if \mathbf{h}[k](x_j) \neq y_j then error \leftarrow error + \mathbf{w}[j]
       for j = 1 to N do
            if \mathbf{h}[k](x_j) = y_j then \mathbf{w}[j] \leftarrow \mathbf{w}[j] \cdot error/(1 - error)
       \mathbf{w} \leftarrow \text{Normalize}(\mathbf{w})
       \mathbf{z}[k] \leftarrow \log (1 - error) / error
   return WEIGHTED-MAJORITY(h, z)
```

Figure 18.33 The AdaBoost variant of the boosting method for ensemble learning. The algorithm generates hypotheses by successively reweighting the training examples. The function Weighted-Majority generates a hypothesis that returns the output value with the highest vote from the hypotheses in $\bf h$, with votes weighted by $\bf z$.

19 KNOWLEDGE IN LEARNING

```
function CURRENT-BEST-LEARNING(examples, h) returns a hypothesis or fail if examples is empty then return h
e \leftarrow \text{FIRST}(examples)
if e is consistent with h then return CURRENT-BEST-LEARNING(REST(examples), h)
else if e is a false positive for h then for each h' in specializations of h consistent with examples seen so far do h'' \leftarrow \text{CURRENT-BEST-LEARNING}(\text{REST}(examples), h')
if h'' \neq fail then return h''
else if e is a false negative for h then for each h' in generalizations of h consistent with examples seen so far do h'' \leftarrow \text{CURRENT-BEST-LEARNING}(\text{REST}(examples), h')
if h'' \neq fail then return h''
return fail
```

Figure 19.2 The current-best-hypothesis learning algorithm. It searches for a consistent hypothesis that fits all the examples and backtracks when no consistent specialization/generalization can be found. To start the algorithm, any hypothesis can be passed in; it will be specialized or gneralized as needed.

```
      function Version-Space-Learning(examples) returns a version space

      local variables: V, the version space: the set of all hypotheses

      V \leftarrow the set of all hypotheses

      for each example e in examples do

      if V is not empty then V \leftarrow Version-Space-Update(V, e)

      return V

      function Version-Space-Update(V, e) returns an updated version space

      V \leftarrow \{h \in V : h \text{ is consistent with } e\}
```

Figure 19.3 The version space learning algorithm. It finds a subset of V that is consistent with all the examples.

Figure 19.8 An algorithm for finding a minimal consistent determination.

```
inputs: examples, set of examples
          target, a literal for the goal predicate
  local variables: clauses, set of clauses, initially empty
  while examples contains positive examples do
      clause \leftarrow New-Clause(examples, target)
      remove positive examples covered by clause from examples
      add clause to clauses
  return clauses
function NEW-CLAUSE(examples, target) returns a Horn clause
  local variables: clause, a clause with target as head and an empty body
                   l, a literal to be added to the clause
                   extended_examples, a set of examples with values for new variables
  extended\_examples \leftarrow examples
  while extended_examples contains negative examples do
      l \leftarrow \text{Choose-Literal}(\text{New-Literals}(clause), extended\_examples)
      append l to the body of clause
      extended\_examples \leftarrow set of examples created by applying EXTEND-EXAMPLE
         to each example in extended_examples
  return clause
function EXTEND-EXAMPLE(example, literal) returns a set of examples
  if example satisfies literal
      then return the set of examples created by extending example with
         each possible constant value for each new variable in literal
  else return the empty set
   Figure 19.12 Sketch of the FOIL algorithm for learning sets of first-order Horn clauses from exam-
```

ples. NEW-LITERALS and CHOOSE-LITERAL are explained in the text.

function FOIL(examples, target) returns a set of Horn clauses

20 LEARNING PROBABILISTIC MODELS

21 REINFORCEMENT LEARNING

```
function PASSIVE-ADP-AGENT(percept) returns an action
  inputs: percept, a percept indicating the current state s' and reward signal r'
  persistent: \pi, a fixed policy
                mdp, an MDP with model P, rewards R, discount \gamma
                U, a table of utilities, initially empty
                N_{sa}, a table of frequencies for state-action pairs, initially zero
                N_{s'|sa}, a table of outcome frequencies given state-action pairs, initially zero
                s, a, the previous state and action, initially null
  if s' is new then U[s'] \leftarrow r'; R[s'] \leftarrow r'
  if s is not null then
       increment N_{sa}[s, a] and N_{s'|sa}[s', s, a]
      for each t such that N_{s'\mid sa}[t,s,a] is nonzero do
           P(t \mid s, a) \leftarrow N_{s' \mid sa}[t, s, a] / N_{sa}[s, a]
   U \leftarrow \text{POLICY-EVALUATION}(\pi, U, mdp)
  if s'. TERMINAL? then s, a \leftarrow \text{null else } s, a \leftarrow s', \pi[s']
  return a
```

Figure 21.2 A passive reinforcement learning agent based on adaptive dynamic programming. The POLICY-EVALUATION function solves the fixed-policy Bellman equations, as described on page ??.

```
function PASSIVE-TD-AGENT(percept) returns an action inputs: percept, a percept indicating the current state s' and reward signal r' persistent: \pi, a fixed policy U, a table of utilities, initially empty N_s, a table of frequencies for states, initially zero s, a, r, the previous state, action, and reward, initially null if s' is new then U[s'] \leftarrow r' if s is not null then increment N_s[s] U[s] \leftarrow U[s] + \alpha(N_s[s])(r + \gamma U[s'] - U[s]) if s'. TERMINAL? then s, a, r \leftarrow null else s, a, r \leftarrow s', \pi[s'], r' return a
```

Figure 21.4 A passive reinforcement learning agent that learns utility estimates using temporal differences. The step-size function $\alpha(n)$ is chosen to ensure convergence, as described in the text.

```
function Q-LEARNING-AGENT(percept) returns an action inputs: percept, a percept indicating the current state s' and reward signal r' persistent: Q, a table of action values indexed by state and action, initially zero N_{sa}, a table of frequencies for state—action pairs, initially zero s, a, r, the previous state, action, and reward, initially null if TERMINAL?(s) then Q[s, None] \leftarrow r' if s is not null then increment N_{sa}[s, a] Q[s, a] \leftarrow Q[s, a] + \alpha(N_{sa}[s, a])(r + \gamma \max_{a'} Q[s', a'] - Q[s, a]) s, a, r \leftarrow s', a argmax_{a'} f(Q[s', a'], N_{sa}[s', a']), <math>r' return a
```

Figure 21.8 An exploratory Q-learning agent. It is an active learner that learns the value Q(s,a) of each action in each situation. It uses the same exploration function f as the exploratory ADP agent, but avoids having to learn the transition model because the Q-value of a state can be related directly to those of its neighbors.

22 NATURAL LANGUAGE PROCESSING

```
function HITS(query) returns pages with hub and authority numbers pages \leftarrow \text{EXPAND-PAGES}(\text{Relevant-Pages}(query)) for each p in pages do p.\text{Authority} \leftarrow 1 p.\text{Hub} \leftarrow 1 repeat until convergence do for each p in pages do p.\text{Authority} \leftarrow \sum_i \text{Inlink}_i(p).\text{Hub} p.\text{Hub} \leftarrow \sum_i \text{Outlink}_i(p).\text{Authority} Normalize(pages) return pages
```

Figure 22.1 The HITS algorithm for computing hubs and authorities with respect to a query. RELEVANT-PAGES fetches the pages that match the query, and EXPAND-PAGES adds in every page that links to or is linked from one of the relevant pages. NORMALIZE divides each page's score by the sum of the squares of all pages' scores (separately for both the authority and hubs scores).

23 NATURAL LANGUAGE FOR COMMUNICATION

```
function CYK-PARSE(words, grammar) returns P, a table of probabilities
  N \leftarrow \text{LENGTH}(words)
  M \leftarrow the number of nonterminal symbols in grammar
  P \leftarrow an array of size [M, N, N], initially all 0
  /* Insert lexical rules for each word */
  for i = 1 to N do
     for each rule of form (X \rightarrow words_i [p]) do
        P[X, i, 1] \leftarrow p
  /* Combine first and second parts of right-hand sides of rules, from short to long */
  for length = 2 to N do
     for start = 1 to N - length + 1 do
       for len1 = 1 to N-1 do
          len2 \leftarrow length - len1
          for each rule of the form (X \rightarrow Y Z [p]) do
             P[X, start, length] \leftarrow MAX(P[X, start, length],
                                P[Y, start, len1] \times P[Z, start + len1, len2] \times p)
  return P
```

Figure 23.4 The CYK algorithm for parsing. Given a sequence of words, it finds the most probable derivation for the whole sequence and for each subsequence. It returns the whole table, P, in which an entry P[X, start, len] is the probability of the most probable X of length len starting at position start. If there is no X of that size at that location, the probability is 0.

Figure 23.5 Annotated tree for the sentence "Her eyes were glazed as if she didn't hear or even see him." from the Penn Treebank. Note that in this grammar there is a distinction between an object noun phrase (NP) and a subject noun phrase (NP-SBJ). Note also a grammatical phenomenon we have not covered yet: the movement of a phrase from one part of the tree to another. This tree analyzes the phrase "hear or even see him" as consisting of two constituent VPs, [VP hear [NP *-1]] and [VP [ADVP even] see [NP *-1]], both of which have a missing object, denoted *-1, which refers to the NP labeled elsewhere in the tree as [NP-1 him].

24 PERCEPTION

25 ROBOTICS

```
function Monte-Carlo-Localization(a, z, N, P(X'|X, v, \omega), P(z|z^*), m) returns
a set of samples for the next time step
  inputs: a, robot velocities v and \omega
            z, range scan z_1, \ldots, z_M
            P(X'|X, v, \omega), motion model
            P(z|z^*), range sensor noise model
            m, 2D map of the environment
   persistent: S, a vector of samples of size N
   local variables: W, a vector of weights of size N
                     S', a temporary vector of particles of size N
                     W', a vector of weights of size N
   if S is empty then
                               /* initialization phase */
       \mathbf{for}\; i=1\; \mathrm{to}\; N\; \mathbf{do}
           S[i] \leftarrow \text{sample from } P(X_0)
       for i = 1 to N do /* update cycle */
            S'[i] \leftarrow \text{sample from } P(X'|X = S[i], v, \omega)
            W'[i] \leftarrow 1
            for j = 1 to M do
                z^* \leftarrow \texttt{RayCast}(j, X = S'[i], m)
                W'[i] \leftarrow W'[i] \cdot P(z_j | z^*)
        S \leftarrow \text{Weighted-Sample-With-Replacement}(N, S', W')
   \mathbf{return}\ S
```

Figure 25.9 A Monte Carlo localization algorithm using a range-scan sensor model with independent noise.

PHILOSOPHICAL FOUNDATIONS

27 AI: THE PRESENT AND FUTURE

28 MATHEMATICAL BACKGROUND

29 NOTES ON LANGUAGES AND ALGORITHMS

```
generator Powers-OF-2() yields ints i \leftarrow 1 while true do yield i i \leftarrow 2 \times i for p in Powers-OF-2() do PRINT(p)

Figure 29.1 Example of a generator function and its invocation within a loop.
```